

MEET YOUR HOSTS



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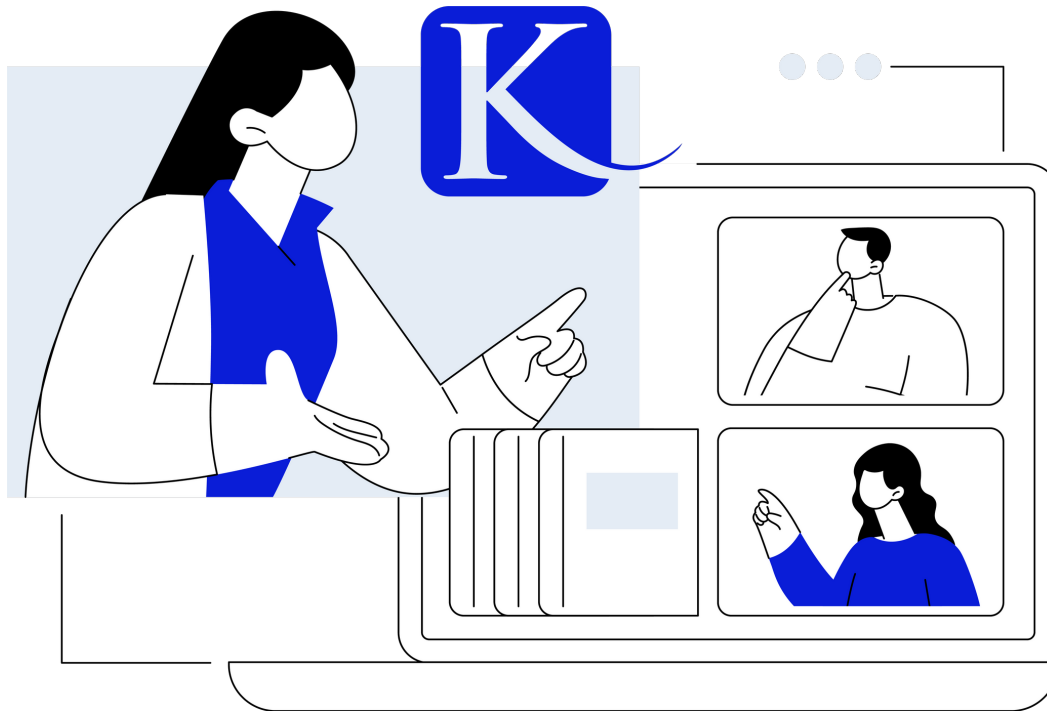
Financial Planning Nerd



TODAY'S AGENDA

11:00 AM ET	Welcome & Housekeeping
11:15 AM ET	Ethical Obligations of Financial Planners – Parts 1 & 2
1:15 PM ET	Break
1:30 PM ET	Navigating AI Compliance
2:30 PM ET	Extended Break
3:00 PM ET	Marketing Your RIA Compliantly
4:00 PM ET	Break
4:15 PM ET	What's New in RIA Compliance Obligations
5:10 PM ET	Break
5:20 PM ET	Compliance Panel of Experts
6:20 PM ET	Closing Remarks
6:30 PM ET	Event Ends

PATH TO SUCCESS



Sessions **01**

There are four hour-long sessions. You must attend at least 50-minutes. There is one 2 hour-long session. You must attend at least 100-minutes.

CE Reporting **02**

CE will be reported by September 26th to FINRA, the CFP Board, IWI, and the American College. Certificates will be emailed for self-reporting.

Attendance Tracking **03**

Our platform is tracking your attendance automatically. There's nothing you need to do to affirm your attendance.



Special Polls for CPAs

If you are a CPA seeking CPE, you must respond to all polls to receive credit.

This requirement applies only to CPAs; others do not need to participate in the polls for credit.

PATH TO SUCCESS



Workbook **04**

Download the Attendee Workbook in the Handouts tab.

Recording **05**

A recording is included with your registration and will be available by tomorrow evening.

Viewing **06**

If you change devices, be sure to sign out of one device before logging back in with a new one.



Thanks for joining us!

Let's Get Started!



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